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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

2001 0686A Attorney Docket No.:

First Named Inventor: Naoaki OGURE et al.

Title: COATING, MODIFICATION AND ETCHING OF SUBSTRATE SURFACE WITH PARTICLE BEAM IRRADIATION OF THE SAME

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [X] Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

2. [] Small Entity Status is hereby asserted.

[Total Pages: 58] Specification

3. [X] (preferred arrangement set forth below)

Descriptive title of the Invention

**Cross References to Related Applications** 

Statement Regarding Fed sponsored R & D

Reference to Sequence Listing, a table, or a computer program listing appendix.

Background of the Invention

Brief Summary of the Invention

Brief Description of the Drawings (if filed)

**Detailed Description** 

Claim(s)

Abstract of the Disclosure

[Total Sheets: 35] 4. [X] Drawing(s) /35 USC 113/

5. [X] Oath or Declaration

a.1. [] Newly executed (original or copy)

a.2. [X] Unexecuted

[] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [] Application Data Sheet (see 37 CFR 1.76)

Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application** 

Washington, D.C. 20231

7. [] CD-ROM or CD-R in duplicate, large table or compared program (Appendix)

8. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [] Computer Readable Form

b. Specification Sequence Listing on:

i. [] CD-ROM or CD-R (2 copies); or

ii. [] Paper

c. [] Statement verifying

identity of above copies

ACCOMPANYING APPLICATION PARTS

Assignment Papers (cover sheet & document(s))

37 CFR 3.73(b) Statement (when there is an assignee) 10. []

[] Power of Attorney

English Translation Document (if applicable) 11. []

Information Disclosure Statement (IDS)/PTO-1449 12. []

[] Copies of IDS Citations

13. [] Preliminary Amendment

14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically

itemized)

Certified Copy of Priority Document(s) 15. []

(if foreign priority is claimed)

16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).

Applicant must attach form PTO/SB/35 or its equivalent.

17. [X] Other COVER LETTER FOR APPLICATION FILED WITHOUT EXECUTED DECLARATION

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

[] Continuation

[] Divisional

[] Continuation-in-part (CIP)

[Total Pages: 3]

of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



PATENT TRADEMARK OFFICE

Michael S. Huppert Registration No. 40,268

WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250

May 30, 2001

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Naoaki OGURE et al. Attn: APPLICATION BRANCH Serial No. NEW Attorney Docket No. 2001\_0686A Filed May 30, 2001 COATING, MODIFICATION AND ETCHING OF SUBSTRATE SURFACE WITH PARTICLE BEAM IRRADIATION OF THE SAME PATENT OFFICE FEE TRANSMITTAL FORM THE COMMISSIONER IS AUTHORIZED Assistant Commissioner for Patents, TO CHARGE ANY DEFICIENCY IN THE Washington, DC 20231 FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975. Sir:

Attached hereto is a check in the amount of \$790.00 to cover Patent Office fees relating to filing the following attached papers:

Assignment for Recordal ..... Additional Claims Fee: Independent ..... \$<u>80.00</u> 

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Naoaki OGURE et al.

Michael S. Huppert

Registration No. 40,268 Attorney for Applicants

MSH/kif WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 May 30, 2001

[Check No. 1-1-1-